

US009105806B2

# (12) United States Patent

Sharma et al.

(10) Patent No.: US 9,105,806 B2

(45) **Date of Patent:** 

Aug. 11, 2015

# (54) POLARIZATION DIRECTION OF OPTICAL DEVICES USING SELECTED SPATIAL CONFIGURATIONS

(75) Inventors: **Rajat Sharma**, Fremont, CA (US); **Eric M. Hall**, Fremont, CA (US)

(73) Assignees: **SORAA, INC.**, Fremont, CA (US); **KAAI, INC.**, Goleta, CA (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 261 days.

(21) Appl. No.: 13/553,691

(22) Filed: Jul. 19, 2012

# (65) Prior Publication Data

US 2012/0288974 A1 Nov. 15, 2012

# Related U.S. Application Data

- (62) Division of application No. 12/720,593, filed on Mar.9, 2010, now Pat. No. 8,247,886.
- (60) Provisional application No. 61/158,622, filed on Mar. 9, 2009.
- (51) **Int. Cl. H01L 33/20** (2010.01)

  H01L 33/18 (2010.01)

  H01L 33/32 (2010.01)

# (56) References Cited

#### U.S. PATENT DOCUMENTS

3,647,522 A	3/1972	Single			
4,065,688 A	12/1977	Thornton			
4,870,045 A	9/1989	Gasper et al.			
5,331,654 A	7/1994	Jewell et al.			
5,366,953 A	11/1994	Char et al.			
5,607,899 A	3/1997	Yoshida et al.			
5,632,812 A	5/1997	Hirabayashi			
5,685,885 A	* 11/1997	Khandros et al 29/841			
5,764,674 A	6/1998	Hibbs-Brenner et al.			
5,813,753 A	9/1998	Vriens et al.			
5,926,493 A	7/1999	O'Brien et al.			
6,069,394 A	5/2000	Hashimoto et al.			
(Continued)					

# FOREIGN PATENT DOCUMENTS

EP EP	0961328 2381490	12/1999 10/2011			
	(Continued)				
	OTHER PUBLICATIONS				

Aguilar, 'Ohmic n-contacts to Gallium Nitride Light Emitting Diodes', National Nanotechnologhy Infrastructure Network, 2007, p. 56-81.

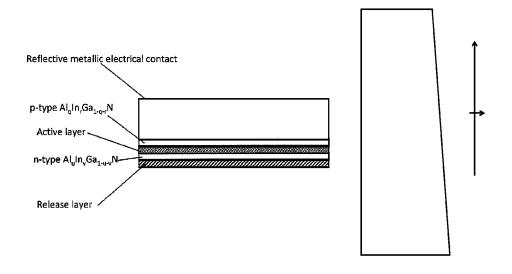
(Continued)

Primary Examiner — Evan Pert (74) Attorney, Agent, or Firm — Saul Ewing LLP

# (57) ABSTRACT

A GaN based light emitting diode device which emits polarized light or light of various degrees of polarization for use in the creation of optical devices. The die are cut to different shapes, or contain some indicia that are used to represent the configuration of the weak dipole plane and the strong dipole plane. This allows for the more efficient manufacturing of such light emitting diode based optical devices.

# 19 Claims, 8 Drawing Sheets



# **US 9,105,806 B2**Page 2

(56)		Referen	ces Cited	8,686,431 8,786,053			Batres et al. D'Evelyn et al.
	U.S. I	PATENT	DOCUMENTS	8,791,499			Sharma et al.
	0.0.1		DOCOMENTS	2001/0009134		7/2001	Kim et al.
6,072,197	$\mathbf{A}$	6/2000	Horino et al.	2001/0043042			Murazaki et al.
6,147,953		11/2000		2001/0048114 2001/0055208		12/2001	Morita et al.
6,195,381 6,335,771			Botez et al. Hiraishi	2002/0027933			Tanabe et al.
6,379,985			Cervantes et al.	2002/0050488			Nikitin et al.
6,498,355			Harrah et al.	2002/0070416			Morse et al.
6,501,154			Morita et al.	2002/0096994 2002/0105986			Iwafuchi et al. Yamasaki
6,509,651 6,533,874			Matsubara et al. Vaudo et al.	2002/0103980			Shelton et al.
6,547,249			Collins, III et al.	2002/0155691	A1	10/2002	Lee et al.
6,586,762		7/2003		2002/0182768			Morse et al.
6,639,925			Niwa et al.	2002/0190260 2003/0000453			Shen et al. Unno et al.
6,680,959 6,734,461			Tanabe et al. Shiomi et al.	2003/0000433		1/2003	
6,809,781			Setlur et al.	2003/0020087		1/2003	Goto et al.
6,858,882		2/2005	Tsuda et al.	2003/0047076		3/2003	
6,860,628			Robertson et al.	2003/0080345 2003/0164507			Motoki et al. Edmond et al.
6,936,488 6,956,246			D'Evelyn et al. Epler et al.	2003/0104307			Appenzeller et al.
7,009,199		3/2006		2003/0216011		11/2003	Nakamura et al.
7,012,279		3/2006	Wierer, Jr. et al.	2004/0070004		4/2004	Eliashevich et al.
7,019,325			Li et al.	2004/0080256 2004/0104391			Hampden-Smith et al. Maeda et al.
7,128,849 7,285,801			Setlur et al. Eliashevich et al.	2004/0104331			Ouderkirk et al.
7,283,801			Motoki et al.	2004/0124435			D'Evelyn et al.
7,341,880		3/2008	Erchak et al.	2004/0161222			Niida et al.
7,348,600			Narukawa et al.	2004/0196877 2004/0207998			Kawakami et al. Suehiro et al.
7,358,542			Radkov et al. Chua et al.	2004/0207998		12/2004	
7,358,543 7,390,359			Miyanaga et al.	2004/0251471			Dwilinski et al.
7,419,281			Porchia et al.	2005/0001227			Niki et al.
7,470,555			Matsumura	2005/0012446 2005/0045894			Jermann et al. Okuyama et al.
7,470,938 7,483,466			Lee et al. Uchida et al.	2005/0043894			D'Evelyn et al.
7,483,400			Scheible et al.	2005/0109240		5/2005	Maeta et al.
7,491,984		2/2009	Koike et al.	2005/0121679			Nagahama et al.
7,518,159			Masui et al	2005/0140270 2005/0167680			Henson et al. Shei et al.
7,566,639 7,598,104			Kohda 438/463 Teng et al.	2005/0199899			Lin et al.
7,622,742			Kim et al.	2005/0214992	A1		Chakraborty et al.
7,733,571	B1	6/2010		2005/0224830			Blonder et al.
7,816,238			Osada et al.	2005/0230701 2005/0232327		10/2005	Nomura et al.
7,858,408 7,862,761			Mueller et al. Okushima et al.	2005/0263791			Yanagihara et al.
7,871,839			Lee et al.	2006/0038542		2/2006	Park et al.
7,884,538			Mitsuishi et al.	2006/0060131			Atanackovic
7,923,741 7,976,630			Zhai et al.	2006/0060872 2006/0077795			Edmond et al. Kitahara et al.
8,044,412		10/2011	Poblenz et al. Murphy et al.	2006/0079082	A1	4/2006	Bruhns et al.
8,142,566			Kiyomi et al.	2006/0118799			D'Evelyn et al.
8,148,801			D'Evelyn	2006/0163589 2006/0166390			Fan et al. Letertre et al.
8,188,504 8,198,643		5/2012	Lee Lee et al.	2006/0160390			Fan et al.
8,207,548		6/2012		2006/0186418	A1	8/2006	Edmond et al.
8,207,554	B2	6/2012	Shum	2006/0189098			Edmond
D662,899			Shum et al.	2006/0204865 2006/0205199			Erchak et al. Baker et al.
D662,900 8,247,886			Shum et al. Sharma et al.	2006/0213429			Motoki et al.
8,247,887			Raring et al.	2006/0214287			Ogihara et al.
8,252,662			Poblenz et al.	2006/0255343			Ogihara et al. Araki et al.
8,293,551			Sharma et al.	2006/0256482 2006/0273339			Steigerwald et al.
8,299,473 8,304,265			D'Evelyn et al. Nakamura et al.	2006/0288928			Eom et al.
8,310,143	B2		Van De Ven et al.	2007/0045200			Moon et al.
8,314,429			Raring et al.	2007/0054476			Nakahata et al.
8,324,835 8,350,273		1/2012	Shum Vielemeyer	2007/0062440 2007/0072324			Sato et al. Krames et al.
8,350,273 8,351,478			Raring et al.	2007/0072324			Farrell et al.
8,355,418		1/2013	Raring et al.	2007/0096239			Cao et al.
8,455,894		6/2013	D'Evelyn et al.	2007/0105351			Motoki et al.
8,477,259			Kubota et al.	2007/0114569			Wu et al.
8,502,465 8,524,578			Katona et al. Raring et al.	2007/0121690 2007/0131967			Fujii et al. Kawaguchi et al.
8,575,728			Raring et al.	2007/0131907			Yoon et al.
8,597,967			Krames et al.	2007/0210074			Maurer et al.

# US 9,105,806 B2 Page 3

(56)	Referen	ices Cited	2010/0067241			Lapatovich et al.
HO	DATENT	DOCUMENTO	2010/0096615			Okamoto et al.
U.S	. PATENT	DOCUMENTS	2010/0108985 2010/0109030			Chung et al. Krames et al.
2007/0217462 A1	0/2007	Yamasaki	2010/0103030			Kim et al.
2007/0217402 A1 2007/0228404 A1		Tran et al.	2010/0117106		5/2010	Trottier
2007/0231978 A1		Kanamoto et al.	2010/0117118			Dabiran et al.
2007/0264733 A1	11/2007	Choi et al.	2010/0140634			van de Ven et al.
2007/0280320 A1		Feezell et al.	2010/0148145 2010/0149814			Ishibashi et al. Zhai et al.
2007/0290224 A1	12/2007		2010/0145814			Ibbetson et al.
2008/0023691 A1 2008/0030976 A1		Jang et al. Murazaki et al.	2010/0195687			Okamoto et al.
2008/0054290 A1		Shieh et al.	2010/0200837			Zimmerman et al.
2008/0073660 A1	3/2008	Ohno et al.	2010/0219505			D'Evelyn
2008/0083741 A1		Giddings et al.	2010/0220262 2010/0240158			DeMille et al. Ter-Hovhannissian
2008/0083929 A1		Fan et al. Tysoe et al.	2010/0276663			Enya et al.
2008/0087919 A1 2008/0099777 A1		Erchak et al.	2010/0290208		11/2010	
2008/0106212 A1		Yen et al.	2010/0295054	A1	11/2010	Okamoto et al.
2008/0121906 A1		Yakushiji	2010/0295088			D'Evelyn et al
2008/0121913 A1		McKenzie et al.	2010/0302464			Raring et al.
2008/0128752 A1	6/2008		2010/0309943 2010/0316075			Chakraborty et al. Raring et al.
2008/0142781 A1 2008/0164489 A1	6/2008	Schmidt et al.	2011/0017298		1/2011	
2008/0164578 A1		Tanikella et al.	2011/0031508	A1	2/2011	Hamaguchi et al.
2008/0173884 A1		Chitnis et al.	2011/0056429			Raring et al.
2008/0179607 A1		DenBaars et al.	2011/0057167			Ueno et al.
2008/0179610 A1		Okamoto et al.	2011/0062415 2011/0064100			Ohta et al. Raring et al.
2008/0191192 A1 2008/0191223 A1		Feezell et al. Nakamura et al.	2011/0064101			Raring et al.
2008/0191223 A1 2008/0194054 A1		Lin et al.	2011/0064102			Raring et al.
2008/0198881 A1		Farrell et al.	2011/0064103			Ohta et al.
2008/0210958 A1		Senda et al.	2011/0075694			Yoshizumi et al.
2008/0211416 A1		Negley et al.	2011/0100291			D'Evelyn
2008/0217745 A1		Miyanaga et al.	2011/0101400 2011/0101414			Chu et al. Thompson et al.
2008/0230765 A1 2008/0237569 A1		Yoon et al. Nago et al.	2011/0103418			Hardy et al.
2008/0257303 AT 2008/0261381 A1		Akiyama et al.	2011/0108081	A1		Werthen et al.
2008/0272463 A1		Butcher et al.	2011/0121331			Simonian et al.
2008/0282978 A1		Butcher et al.	2011/0124139 2011/0175200		5/2011	Chang Yoshida
2008/0283851 A1	11/2008		2011/01/3200			Gardner et al.
2008/0284346 A1 2008/0285609 A1	11/2008	Ohta et al.	2011/0180781			Raring et al.
2008/0291961 A1		Kamikawa et al.	2011/0182056			Trottier et al.
2008/0298409 A1		Yamashita et al.	2011/0186860			Enya et al.
2008/0303033 A1		Brandes	2011/0186874 2011/0186887		8/2011 8/2011	Trottier et al.
2009/0028204 A1 2009/0032828 A1		Hiroyama et al. Romano et al.	2011/0188530			Lell et al.
2009/0052828 A1 2009/0058532 A1		Kikkawa et al.	2011/0216795	A1	9/2011	Hsu et al.
2009/0065798 A1		Masui et al.	2011/0220912			D'Evelyn
2009/0071394 A1		Nakahata et al.	2011/0247556 2011/0256693			Raring et al. D'Evelyn et al.
2009/0072252 A1 2009/0078944 A1	3/2009	Son et al. Kubota et al.	2011/0230093			Poblenz et al.
2009/0078944 A1 2009/0078955 A1		Fan et al.	2011/0266552	A1	11/2011	
2009/0081857 A1		Hanser et al.	2011/0279054	A1		Katona et al.
2009/0086475 A1		Caruso et al.	2011/0281422			Wang et al.
2009/0095973 A1		Tanaka et al.	2011/0315999 2011/0317397			Sharma et al. Trottier et al.
2009/0140279 A1 2009/0146170 A1		Zimmerman et al. Zhong et al.	2012/0000415			D'Evelyn et al.
2009/0155989 A1		Uematsu et al.	2012/0007102			Feezell et al.
2009/0194796 A1		Hashimoto et al.	2012/0043552			David et al.
2009/0206354 A1		Kitano et al.	2012/0073494			D'Evelyn Krames et al.
2009/0227056 A1		Kyono et al.	2012/0091465 2012/0104412			Zhong et al.
2009/0250686 A1 2009/0252191 A1		Sato et al. Kubota et al.	2012/0118223			D'Evelyn
2009/0267098 A1	10/2009		2012/0135553			Felker et al.
2009/0267100 A1	10/2009	Miyake et al.	2012/0137966			D'Evelyn et al.
2009/0273005 A1	11/2009		2012/0178215 2012/0187412			D'Evelyn D'Evelyn et al.
2009/0309110 A1 2009/0309127 A1		Raring et al. Raring et al.	2012/018/412			Batres et al.
2009/0309127 A1 2009/0315480 A1		Yan et al.	2012/0199952			D'Evelyn et al.
2009/0321745 A1		Kinoshita et al.	2012/0235201		9/2012	Shum
2009/0321778 A1		Chen et al.	2013/0022064			Raring et al.
2010/0001300 A1		Raring et al.	2013/0022758			Trottier
2010/0006873 A1		Raring et al.	2013/0026483			Sharma et al.
2010/0006876 A1 2010/0025656 A1		Moteki et al. Raring et al.	2013/0044782 2013/0064261		2/2013 3/2013	Sharma et al.
2010/0023030 A1 2010/0032691 A1	2/2010	-	2013/0004201			Fu et al.
2010/0055819 A1		Ohba et al.	2013/0126902			Isozaki et al.

# (56) References Cited

#### U.S. PATENT DOCUMENTS

2013/0234108 A1	9/2013	David et al.
2014/0103356 A1	4/2014	Krames et al.
2014/0175492 A1	6/2014	Steranka et al.
2014/0346524 A1	11/2014	Batres et al.
2014/0346546 A1	11/2014	D'Evelyn et al.

#### FOREIGN PATENT DOCUMENTS

JP	06-334215	12/1994
JP	1997-036430	2/1997
JP	09-082587	3/1997
JР	11-340507	12/1999
JP	11-340576	12/1999
JP	2001-160627	6/2001
JP	2001-177146	6/2001
JP	2002-185085	6/2002
JР	2003-031844	1/2003
JР	2000-294883	2/2004
JР	2006-173621	6/2006
JР	2007-110090	4/2007
JР	2008-084973	4/2008
JР	2008-172040	7/2008
JP	2008-311640	12/2008
JP	2009-21824	1/2009
WO	WO 2006/062880	6/2006
WO	WO 2009/001039	12/2008
WO	WO 2010/138923	2/2010

#### OTHER PUBLICATIONS

Baker et al., 'Characterization of Planar Semipolar Gallium Nitride Films on Spinel Substrates', Japanese Journal of Applied Physics, vol. 44, No. 29, 2005, p. L920-L922.

Founta et al., 'Anisotropic Morphology of Nonpolar a-Plane GaN Quantum Dots and Quantum Wells', Journal of Applied Physics, vol. 102, vol. 7, 2007, p. 074304-1-074304-6.

Lu et al., 'Etch-Pits of GaN Films with Different Etching Methods', Journal of the Korean Physical Society, vol. 45, Dec. 2004, p. S673-S675.

Rickert et al., 'n-GaN Surface Treatments for Metal Contacts Studied Via X-ray Photoemission Spectroscopy', Applied Physics Letters, vol. 80, No. 2, Jan. 14, 2002, p. 204-206.

Sato et al., 'High Power and High Efficiency Semipolar InGaN Light Emitting Diodes', Journal of Light and Visible Environment, vol. 32, No. 2, Dec. 13, 2007, p. 57-60.

Sato et al., 'Optical Properties of Yellow Light-Emitting Diodes Grown on Semipolar (1122) Bulk GaN Substrate', Applied Physics Letters, vol. 92, No. 22, 2008, p. 221110-1-221110-3.

Selvanathan et al., 'Investigation of Surface Treatment Schemes on n-type GaN and A1 0.20Ga0.80N', Journal of Vacuum Science and Technology B, vol. 23, No. 6, May 10, 2005, p. 2538-2544.

Semendy et al., 'Observation and Study of Dislocation Etch Pits in Molecular Beam Epitaxy Grown Gallium Nitride with the use of Phosphoric Acid and Molten Potassium Hydroxide', Army Research Laboratory, ARL-TR-4164, Jun. 2007, 18 pages.

Communication from the Japanese Patent Office re 2012-529969, dated Oct. 15, 2013, 6 pages.

Weaver et al., 'Optical Properties of Selected Elements', Handbook of Chemistry and Physics, 94th Edition, 2013-2014, p. 12-126-12-150

USPTO Office Action for U.S. Appl. No. 12/491,169 dated Oct. 22, 2010 (9 pages).

USPTO Office Action for U.S. Appl. No. 12/491,169 dated May 11, 2011 (9 pages).

USPTO Office Action for U.S. Appl. No. 12/497,289 dated Feb. 2, 2012 (6 pages).

USPTO Notice of Allowance for U.S. Appl. No. 12/497,289 dated May 22, 2012 (7 pages).

USPTO Office Action for U.S. Appl. No. 12/785,953 dated Apr. 12, 2012 (11 pages).

USPTO Office Action for U.S. Appl. No. 12/785,953 dated Jan. 11, 2013 (14 pages).

USPTO Office Action for U.S. Appl. No. 12/785,953 dated Oct. 3, 2013 (10 pages).

USPTO Office Action for U.S. Appl. No. 12/880,803 dated Feb. 22, 2012 (8 pages).

USPTO Notice of Allowance for U.S. Appl. No. 12/880,803 dated Jul. 18,2012 (5 pages).

USPTO Office Action for U.S. Appl. No. 12/995,946 dated Mar. 28, 2012 (17 pages).

USPTO Office Action for U.S. Appl. No. 12/995,946 dated Jan. 29, 2013 (25 pages).

USPTO Office Action for U.S. Appl. No. 12/995,946 dated Aug. 2, 2013 (15 pages).

USPTO Office Action for U.S. Appl. No. 13/019,897 dated Dec. 2, 2013 (17 pages).

USPTO Notice of Allowance for U.S. Appl. No. 13/281,221 dated Nov. 12, 2013 (10 pages).

USPTO Office Action for U.S. Appl. No. 13/328,978 dated Sep. 26, 2013 (25 pages).

USPTO Office Action for U.S. Appl. No. 13/548,635 dated Jun. 14, 2013 (5 pages).

USPTO Notice of Allowance for U.S. Appl. No. 13/548,635 dated Sep. 16, 2013 (6 pages).

USPTO Office Action for U.S. Appl. No. 13/548,770 dated Mar. 12, 2013 (5 pages).

USPTO Notice of Allowance for U.S. Appl. No. 13/548,770 dated Jun. 25, 2013 (6 pages).

USPTO Office Action for U.S. Appl. No. 13/629,366 dated Oct. 31, 2013 (7 pages).

USPTO Office Action for U.S. Appl. No. 13/723,968 dated Nov. 29, 2013 (23 pages).

Farrell et al., 'Continuous-Wave Operation of AlGaN-Cladding-Free Nonpolar m-Plane InGaN/GaN Laser Diodes', 2007, Japanese Journal of Applied Physics, vol. 46, No. 32, 2007, pp. L761-L763.

Feezell et al., 'AlGaN-Cladding-Free Nonpolar InGaN/GaN Laser Diodes', Japanese Journal of Applied Physics, vol. 46, No. 13, 2007, pp. L284-L286.

Haskell et al., 'Defect Reduction in (1100) m-plane gallium nitride via lateral epitaxial overgrowth by hydride vapor phase epitaxy', Applied Physics Letters 86, 111917 (2005), pp. 1-3.

Kojima et al., 'Stimulated Emission At 474 nm From an InGaN Laser Diode Structure Grown on a (1122) GaN Substrate', Applied Physics Letters, vol. 91, 2007, pp. 251107-251107-3.

Kubota et al., 'Temperature Dependence of Polarized Photoluminescence From Nonpolar m-Plane InGaN Multiple Quantum Wells For Blue Laser Diodes', Applied Physics Letter, vol. 92, 2008, pp. 011920-1-011920-3.

PCT Communication Including Partial Search and Examination Report for PCT/US2011/041106, dated Oct. 4, 2011, 4 pages total. International Search Report of PCT Application No. PCT/US2011/041106, dated Jan. 5, 2012, 4 pages total.

Tsuda et al., 'Blue Laser Diodes Fabricated on m-Plane GaN Substrates', Applied Physics Express, vol. 1, 2008, pp. 011104-1-011104-3.

Tyagi et al., 'Semipolar (1011) InGaN/GaN Laser Diodes on Bulk GaN Substrates', Japanese Journal of Applied Physics, vol. 46, No. 19, 2007, pp. L444-L445.

USPTO Office Action for U.S. Appl. No. 12/478,736 dated Feb. 7, 2012 (6 pages).

USPTO Office Action for U.S. Appl. No. 12/634,665 dated Apr. 25, 2012 (9 pages).

USPTO Notice of Allowance for U.S. Appl. No. 12/720,593 dated Jul. 11, 2012 (7 pages).

USPTO Notice of Allowance for U.S. Appl. No. 12/785,953 dated Mar. 20, 2014 (8 pages).

USPTO Office Action for U.S. Appl. No. 12/861,765 dated Mar. 28, 2014 (12 pages).

USPTO Notice of Allowance for U.S. Appl. No. 13/012,674 dated Apr. 30, 2014 (8 pages).

USPTO Office Action for U.S. Appl. No. 13/019,897 dated Jun. 12, 2014 (8 pages).

# (56) References Cited

# OTHER PUBLICATIONS

USPTO Office Action for U.S. Appl. No. 13/304,182 dated May 9, 2014 (12 pages).

USPTO Office Action for U.S. Appl. No. 13/357,578 dated May 13, 2014 (8 pages).

USPTO Office Action for U.S. Appl. No. 13/629,366 dated Apr. 18, 2014 (7 pages).

Kim et al., 'High Brightness Light Emitting Diodes Using Dislocation-Free Indium Gallium Nitride/Gallium Nitride Multiquantum-Well Nanorod Arrays', Nano Letters, vol. 4, No. 6, 2004, pp. 1059-1062.

Communication from the Korean Patent Office re 10-2012-7009980, dated Apr. 15, 2013, 6 pages.

USPTO Office Action for U.S. Appl. No. 12/569,841 dated Mar. 26, 2013.

USPTO Office Action for U.S. Appl. No. 12/749,466 dated Jul. 3, 2012

USPTO Notice of Allowance for U.S. Appl. No. 12/936,238 dated Apr. 16, 2013.

USPTO Office Action for U.S. Appl. No. 13/019,897 dated Jan. 16,

USPTO Office Action for U.S. Appl. No. 13/328,978 dated May 15,

USPTO Office Action for U.S. Appl. No. 13/465,976 dated Dec. 20,

Motoki et al., 'Dislocation reduction in GaN crystal by advanced-DEEP', Journal of Crystal Growth, vol. 305, Apr. 1, 2007, pp. 377-383

Communication from the Japanese Patent Office re 2013515583 dated Feb. 27, 2014, 2 pages.

USPTO Office Action for U.S. Appl. No. 12/569,841 dated Feb. 14, 2014, 20 pages.

USPTO Office Action for U.S. Appl. No. 13/012,674 dated Jan. 17, 2014, 15 pages.

Cich et al., 'Bulk GaN based violet light-emitting diodes with high efficiency at very high current density', Applied Physics Letters, 101, Nov. 29, 2012, pp. 223509-1-223509-3.

USPTO Office Action for U.S. Appl. No. 12/481,543 dated Jun. 27, 2011

USPTO Office Action for U.S. Appl. No. 12/749,476 dated Apr. 11, 2011

USPTO Office Action for U.S. Appl. No. 12/749,476 dated Nov. 8, 2011.

USPTO Notice of Allowance for U.S. Appl. No. 12/749,476 dated May 4, 2012.

USPTO Notice of Allowance for U.S. Appl. No. 12/749,476 dated Jun. 26, 2012.

USPTO Office Action for U.S. Appl. No. 12/861,765 dated Jul. 2, 2012

USPTO Office Action for U.S. Appl. No. 12/879,784 dated Jan. 25, 2012.

USPTO Notice of Allowance for U.S. Appl. No. 12/879,784 dated Apr. 3, 2012.

USPTO Office Action for U.S. Appl. No. 12/880,889 dated Feb. 27,

USPTO Office Action for U.S. Appl. No. 12/880,889 dated Sep. 19, 2012.

USPTO Office Action for U.S. Appl. No. 12/936,238 dated Aug. 30, 2012

USPTO Office Action for U.S. Appl. No. 13/014,622 dated Nov. 28, 2011

USPTO Office Action for U.S. Appl. No. 13/014,622 dated Apr. 30, 2012.

USPTO Office Action for U.S. Appl. No. 13/019,897 dated Mar. 30, 2012.

USPTO Notice of Allowance for U.S. Appl. No. 13/163,482 dated Jul. 31, 2012.

USPTO Office Action for U.S. Appl. No. 13/465,976 dated Aug. 16, 2012.

Benke et al., 'Uncertainty in Health Risks from Artificial Lighting due to Disruption of Circadian Rythm and Melatonin Secretion: A Review', Human and Ecological Risk Assessment: An International Journal, vol. 19, No. 4, 2013, pp. 916-929.

Hanifin et al., 'Photoreception for Circadian, Neuroendocrine, and Neurobehavioral Regulation', Journal of Physiological Anthropology, vol. 26, 2007, pp. 87-94.

International Search Report & Written Opinion of PCT Application No. PCT/US2013/029453, dated Jul. 25, 2013, 11 pages total.

http://www.philipslumileds.com/products/luxeon-flash, 'LUXEON Flash', Philips Lumileds, Aug. 8, 2013, pp. 1-2.

Rea et al., 'White Lighting', COLOR Research and Application, vol. 38, No. 2, Sep. 3, 2011, pp. 82-92.

USPTO Office Action for U.S. Appl. No. 12/569,841 dated Aug. 13, 2013, 21 pages.

USPTO Office Action for U.S. Appl. No. 12/861,765 dated Sep. 17, 2013, 10 pages.

USPTO Office Action for U.S. Appl. No. 13/281,221 dated Jun. 21, 2013, 6 pages.

Iso et al., 'High Brightness Blue InGaN/GaN Light Emitting Diode on Nonpolar m-Plane Bulk GaN Substrate,' Japanese Journal of Applied Physics, 2007, vol. 46, No. 40, pp. L960-L962.

USPTO Office Action for U.S. Appl. No. 12/569,841 dated Dec. 23, 2011.

USPTO Office Action for U.S. Appl. No. 12/569,844 dated Oct. 12, 2012.

USPTO Notice of Allowance for U.S. Appl. No. 12/569,844 dated Mar. 7, 2013.

USPTO Notice of Allowance for U.S. Appl. No. 12/754,886 dated May 17, 2012.

USPTO Notice of Allowance for U.S. Appl. No. 12/754,886 dated Jun. 5, 2012.

USPTO Notice of Allowance for U.S. Appl. No. 12/754,886 dated Jun. 20, 2012.

USPTO Office Action for U.S. Appl. No. 12/861,765 dated Mar. 7, 2013.

USPTO Office Action for U.S. Appl. No. 12/936,238 dated Jan. 30, 2013.

USPTO Office Action for U.S. Appl. No. 13/025,833 dated Jul. 12, 2012.

USPTO Office Action for U.S. Appl. No. 13/179,346 dated Aug. 17, 2012.

USPTO Office Action for U.S. Appl. No. 13/179,346 dated Dec. 13,2012.

Mastro et al., 'Hydride vapor phase epitaxy-grown A1GaN/GaN high electron mobility transistors', Solid-State Electronics, vol. 47, Issue 6, Jun. 2003, pp. 1075-1079.

Morkoc, 'Handbook of Nitride Semiconductors and Devices', vol. 1, 2008, p. 704.

USPTO Office Action for U.S. Appl. No. 13/014,622 dated Jun. 20, 2014 (15 pages).

USPTO Notice of Allowance for U.S. Appl. No. 13/304,182 dated Aug. 27, 2014 (8 pages).

USPTO Office Action for U.S. Appl. No. 13/465,976 dated Aug. 25, 2014 (21 pages).

USPTO Office Action for U.S. Appl. No. 14/054,234 dated Aug. 14, 2014 (24 pages).

Enya, '531nm Green Lasing of InGaN Based Laser Diodes on Semi-Polar {20-21} Free-Standing GaN Substrates', Applied Physics Express, Jul. 17, 2009, vol. 2, pp. 082101.

Fujii et al., 'Increase in the Extraction Efficiency of GaN-Based Light-Emitting Diodes Via Surface Roughening', 2Applied Physics Letters, vol. 84, No. 6, 2004, pp. 855-857.

Kendall et al., 'Energy Savings Potential of Solid State Lighting in General Lighting Applications', Report for the Department of Energy, 2001, pp. 1-35.

International Search Report of PCT Application No. PCT/US2011/023622, dated Apr. 1, 2011, 2 pages total.

International Preliminary Report & Written Opinion of PCT Application No, PCT/US2011/037792, dated Dec. 6, 2012, 8 pages total. Schmidt et al., 'High Power and High External Efficiency m-Plane InGaN Light Emitting Diodes', Japanese Journal of Applied Physics, vol. 46, No. 7, 2007, pp. L126-L128.

# (56) References Cited

# OTHER PUBLICATIONS

Shchekin et al., 'High Performance Thin-film Flip-Chip InGaN-GaN Light-Emitting Diodes', Applied Physics Letters, vol. 89, 2006, pp. 071109-1-071109-3.

Tyagi et al., 'High Brightness Violet InGaN/GaN Light Emitting Diodes on Sernipolar (1011) Bulk GaN Substrates', Japanese Journal of Applied Physics, vol. 46, No. 7, 2007, pp. L129-L131.

Communication from the German Patent Office re 11 2010 003 697.7 dated Apr. 11, 2013, (6 pages).

Communication from the Japanese Patent Office re 2012-529905 dated Apr. 19, 2013, (4 pages).

Communication from the Chinese Patent Office re 201180029188.7 dated Sep. 29, 2014 (7 pages).

Communication from the Japanese Patent Office re 2013-515583 dated Sep. 12, 2014 (4 pages).

Wierer et al., 'High-Power A1GalnN Flip-Chip Light-Emilting Diodes', Applied Physics Letters, vol. 78, No. 22, 2001, pp. 3379-3381

Yamaguchi, 'Anisotropic Optical Matrix Elements in Strained GaN-Quantum Wells With Various Substrate Orientations', Physica Status Solidi (PSS), vol. 5, No. 6, 2008, pp. 2329-2332.

Yoshizumi et al., 'Continuous-Wave Operation of 520nm Green InGaN-Based Laser Diodes On . Semi- Polar {2021} GaN Substrates', Applied Physics Express, vol. 2, 2009, pp. 092101-1-092101-3.

USPTO Office Action for U.S. Appl. No. 12/883,652 dated Jan. 11, 2013 (11 pages).

USPTO Office Action for U.S. Appl. No. 12/942,817 dated Feb. 20, 2013 (11 pages).

USPTO Office Action for U.S. Appl. No. 13/019,897 dated Dec. 5, 2014 (18 pages).

USPTO Notice of Allowance for U.S. Appl. No. 13/108,645 dated Jan. 28, 2013 (8 pages).

USPTO Office Action for U.S. Appl. No. 13/291,922 dated Feb. 20, 2013 (9 pages).

USPTO Notice of Allowance for U.S. Appl. No. 13/425,304 dated Aug. 22, 2012 (7 pages).

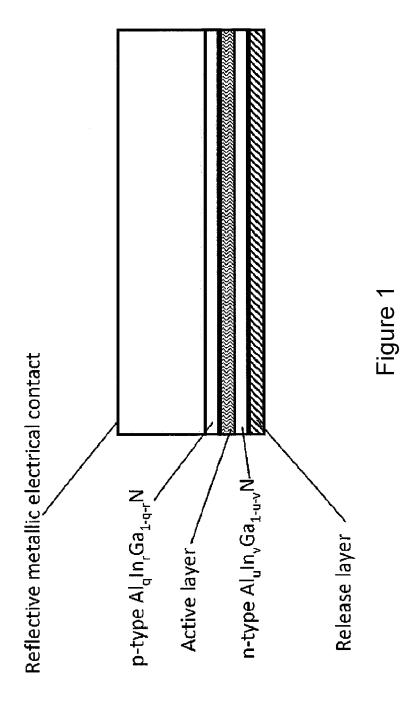
USPTO Office Action for U.S. Appl. No. 13/425,354 dated Feb. 14, 2013 (12 pages).

USPTO Office Action for U.S. Appl. No. 13/606,894 dated Feb. 5, 2013 (7 pages).

USPTO Office Action for U.S. Appl. No. 14/181,386 dated Oct. 28, 2014 (10 pages).

USPTO Notice of Allowance for U.S. Appl. No. 14/301,520 dated Nov. 25, 2014 (8 pages).

<sup>\*</sup> cited by examiner



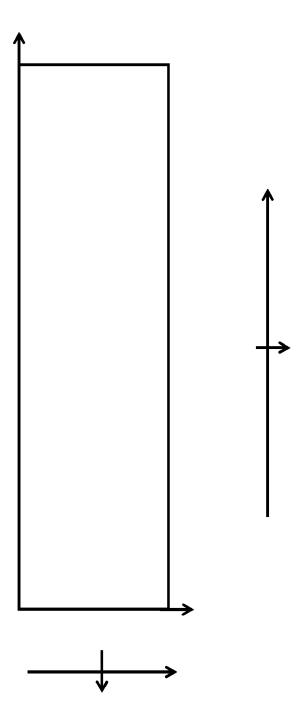


Figure 1A

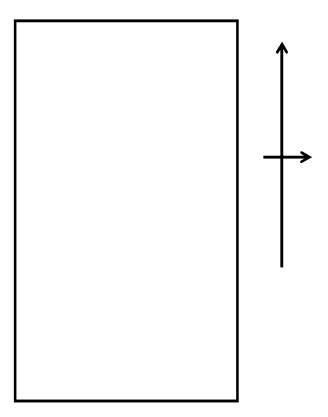


Figure 2

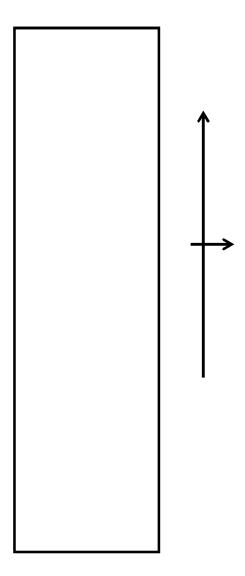


Figure 3

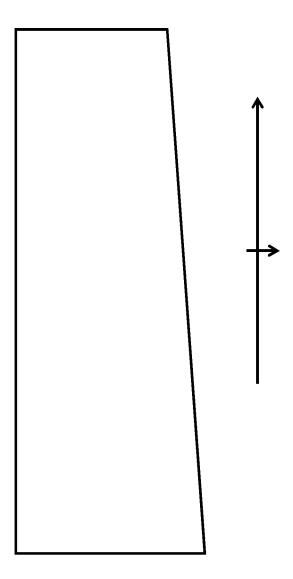


Figure 4

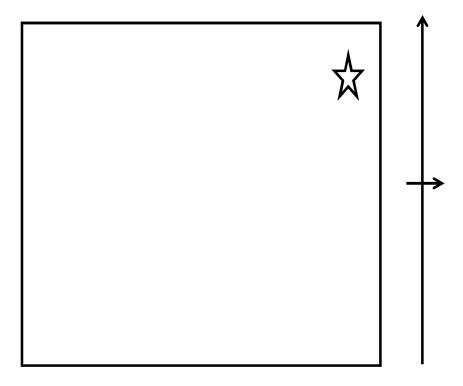


Figure 5

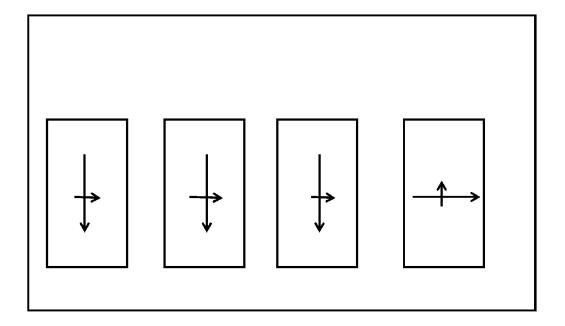


Figure 6

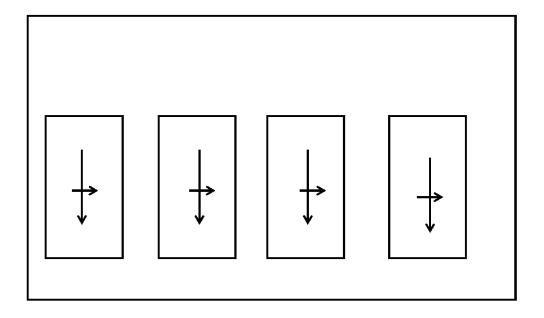


Figure 7

# POLARIZATION DIRECTION OF OPTICAL DEVICES USING SELECTED SPATIAL CONFIGURATIONS

# CROSS-REFERENCES TO RELATED APPLICATIONS

This application is a divisional application of U.S. application Ser. No. 12/720,593 filed on Mar. 9, 2010 now U.S. Pat. No. 8,247,886, now allowed, which claims priority to U.S. 10 Provisional Application No. 61/158,622, filed on Mar. 9, 2009, each of which is commonly assigned, and incorporated by reference herein for all purposes.

# BACKGROUND OF THE INVENTION

The present invention relates generally to lighting techniques. More specifically, embodiments of the invention include techniques for the more efficient fabrication and handling of optical devices on semipolar or nonpolar crystalline 20 semiconductor materials. Merely by way of example, the invention can be applied to applications such as white lighting, multi-colored lighting, general illumination, decorative lighting, automotive and aircraft lamps, street lights, lighting for plant growth, indicator lights, lighting for flat panel dis- 25 plays, other optoelectronic devices, and the like.

In the late 1800's, Thomas Edison invented the light bulb. The conventional light bulb, commonly called the "Edison bulb," has been used for over one hundred years. The conventional light bulb uses a tungsten filament enclosed in a glass 30 bulb sealed in a base, which is screwed into a socket. The socket is coupled to an AC power or DC power source. The conventional light bulb can be found commonly in houses, buildings, and outdoor lightings, and other areas requiring Edison light bulb. That is, the conventional light bulb dissipates much thermal energy. More than 90% of the energy used for the conventional light bulb dissipates as thermal energy. Additionally, the conventional light bulb routinely fails often due to thermal expansion and contraction of the 40 filament element.

To overcome some of the drawbacks of the conventional light bulb, fluorescent lighting has been developed. Fluorescent lighting uses an optically clear tube structure filled with a halogen gas and, which typically also contains mercury. A 45 pair of electrodes is coupled between the halogen gas and couples to an alternating power source through a ballast. Once the gas has been excited, it discharges to emit light. Typically, the optically clear tube is coated with phosphors, which are excited by the light. Many building structures use 50 fluorescent lighting and, more recently, fluorescent lighting has been fitted onto a base structure, which couples into a

Solid state lighting techniques have also been used. Solid state lighting relies upon semiconductor materials to produce 55 light emitting diodes, commonly called LEDs. At first, red LEDs were demonstrated and introduced into commerce. Red LEDs use Aluminum Indium Gallium Phosphide or AlInGaP semiconductor materials. Most recently, Shuji Nakamura pioneered the use of InGaN materials to produce 60 LEDs emitting light in the blue and green color range for blue and green LEDs. The blue colored LEDs led to innovations such as solid state white lighting, the blue laser diode, which in turn enabled the BluRay<sup>TM</sup> DVD player, and other developments. Other colored LEDs have also been proposed.

High intensity UV, blue, and green LEDs based on GaN have been proposed and even demonstrated with some suc2

cess. Efficiencies have typically been highest in the UVviolet, dropping off as the emission wavelength increases to blue or green. Unfortunately, achieving high intensity, highefficiency GaN-based green LEDs has been particularly problematic. The performance of optoelectronic devices fabricated on conventional c-plane GaN suffer from strong internal polarization fields, which spatially separate the electron and hole wave functions and lead to poor radiative recombination efficiency. Since this phenomenon becomes more pronounced in InGaN layers with increased indium content for increased wavelength emission, extending the performance of UV or blue GaN-based LEDs to the bluegreen or green regime has been difficult. Furthermore, since increased indium content films often require reduced growth 15 temperature, the crystal quality of the InGaN films is degraded. The difficulty of achieving a high intensity green LED has led scientists and engineers to the term "green gap" to describe the unavailability of such green LED. In addition, the light emission efficiency of conventional GaN-based LEDs drops off significantly at higher current densities, as are required for general illumination applications, a phenomenon known as "roll-over." Other limitations with blue LEDs using c-plane GaN exist. These limitations include poor yields, low efficiencies, and reliability issues. Although highly successful, solid state lighting techniques must be improved for full exploitation of their potential. These and other limitations may be described throughout the present specification and more particularly below.

From the above, it is seen that techniques for improving optical devices is highly desired.

# BRIEF SUMMARY OF THE INVENTION

According to the present invention, optical techniques are light. Unfortunately, drawbacks exist with the conventional 35 provided. More specifically, embodiments of the invention include techniques for the more efficient fabrication, handling, and packaging of light emitting diode devices using gallium nitride containing materials. Merely by way of example, the invention can be applied to applications such as optoelectronic devices, and the like. Other applications that desire polarized emission include liquid crystal display ("LCD") backlighting, liquid crystal on silicon ("LCOS") lighting, selected applications of home and/or building lighting, medical applications, biological sampling, plant and algae growth, biofuels, microscopes, film and video (e.g., amusement, action, nature, in-door), multi-dimensional display or televisions, micro and/or pico displays, health and wellness, optical and/or data transmission/communication, security and safety, and others.

> In a preferred embodiment, the present invention provides a light emitting diode device, commonly called an LED, e.g., single LED device, array of LEDs. The device has a GaN substrate comprising a shape including a width and a length, the width (W) and the length (L) being different in magnitude. The LED device is provided on the GaN substrate and configured to emit partially or fully polarized electromagnetic radiation. The polarized electromagnetic radiation comprises a weak dipole plane and a strong dipole plane, the weak dipole plane being orthogonal to the strong dipole plane, the strong dipole plane being parallel or perpendicular to the width of the GaN substrate.

In an alternative specific embodiment, the present invention provides a method for indicating a dipole configuration of an LED device provided on a GaN substrate. The method determines a dipole configuration of an LED device provided on a gallium nitride containing substrate, which is configured to emit electromagnetic radiation having a weak dipole plane

and a strong dipole plane. In a specific embodiment, the method includes forming one or more indicia on the LED device or gallium nitride substrate to correlate either the weak dipole plane or the strong dipole plane to the one or more indicia. The method also includes spatially orienting the LED 5 devices provided on a gallium nitride substrates using at least the one or more indicia on the LED device provided on a gallium nitride substrate.

In still other embodiments, the present invention provides an optical device, e.g., LED array. The device has two or more 10 GaN substrates configured in a package. Each of the GaN substrates is characterized by a shape including a width and a length, the width (W) and the length (L) being different in magnitude. An LED device is provided on each of the GaN substrates. The LED device is configured to emit partially or 15 fully polarized electromagnetic radiation. The polarized electromagnetic radiation comprises a weak dipole plane and a strong dipole plane. In a preferred embodiment, the length and width are characterized by an aspect ratio of 1.1, 1.2, 1.3, 1.4, 1.5 and greater, or can be slightly less in some embodiments.

In one or more embodiments of the present invention, a method is provided for determining the strong and weak dipole planes of an LED die on a bulk semi-polar or non-polar GaN substrate used for an optical device. Specifically the dies 25 are cut with at least one side of the die being different in length or shape than other sides of the die, in order to serve as a marker indicating the strong and weak dipole planes of the bulk GaN substrate material.

In an alternative specific embodiment, the die is cut into a 30 rectangular shape. The die is cut from the wafer in such a manner that either the side of shorter length or the side of longer length indicates the strong and weak dipole planes of the GaN substrate material.

In another specific embodiment of the present invention, 35 one side of the die is cut at an angle such that the side is not perpendicular to opposing parallel sides of the die. Such side thereby serves as indicia representing the strong or weak dipole plane of the GaN substrate material.

In another specific embodiment of the present invention, 40 the die can be cut into any shape that is used to distinguish configuration of the strong and weak dipole planes.

In an alternate embodiment of the present invention a mark or feature is made on either of the larger surface area faces of the die, serving as indicia representing the configuration of 45 the strong and weak dipole planes of the GaN substrate material, upon which the LEDs are built upon.

In another set of embodiments of the present invention, the method of determining the configuration of the strong and weak dipole planes of the die is used when combined with 50 multiple die in an optical device in order to maximize light intensity.

In an alternate embodiment of the present invention, the method of determining the configuration of the strong and weak dipole planes of the die is used when combined with multiple die in an optical device in order to create a device capable of simultaneously emitting light of varying intensity levels.

According to the present invention, methods and devices are provided. More specifically, methods and devices for determining the configuration of the strong and weak emission dipole planes of the die made from GaN materials are provided.

Benefits are achieved by the present invention over conventional techniques. In one or more embodiments, the 60 present device and method can provide a spatial orientation that is easily detectable for handling and packaging of die having strong and/or weak dipole planes. In other embodiments, the present device and method can be achieved using conventional technologies of scribing, breaking, and/or sawing/singulating. Preferably, the shaped die can be configured along with other die to form an array configuration. The array

4

configuration is characterized by a system efficiency that is often higher than conventional unpolarized LEDs using c-plane GaN substrates in applications, which desire polarized emission. Depending upon the embodiment, one or more of these benefits may be achieved. These and other benefits are further described throughout the present specification and more specifically below.

The present invention achieves these benefits and others in the context of known process technology. However, a further understanding of the nature and advantages of the present invention may be realized by reference to the latter portions of the specification and attached drawings.

# BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a simplified diagram of a cross sectional view of a sample LED device structure fabricated on a bulk GaN substrate wafer according to an embodiment of the present invention.

FIG. 1A is a simplified diagram illustrating one or more orientations of the strong and weak dipole planes relative to the wafer's major and minor axes by recording the emission intensity from an individual LED device with a polarizing optic placed between the device and a detector for a sufficiently large number of angular orientations of the polarizing optic.

FIG. 2 shows a simplified diagram of a top view of a conventional square die including the configuration of the strong and weak dipole planes.

FIG. 3 shows a simplified diagram of a top view of a rectangular cut die used to indicate the configuration of the strong and weak dipole planes.

FIG. 4 shows a simplified diagram of a top view of a die with one side cut at an angle to indicate the configuration of the strong and weak dipole planes according to an embodiment of the present invention.

FIG. 5 shows a simplified diagram of a top view of a die with a mark used to indicate the configuration of the strong and weak dipole planes according to an embodiment of the present invention.

FIG. 6 shows a simplified diagram of a top view of an optical device created with multiple die wherein the configuration of the strong and weak dipole planes of the die are not aligned in the same direction according to an embodiment of the present invention.

FIG. 7 shows a simplified diagram of a top view of an optical device created with multiple die wherein the configuration of the strong and weak dipole planes of the die are aligned in the same direction according to an embodiment of the present invention.

# DETAILED DESCRIPTION OF THE INVENTION

According to the present invention, methods and devices for the more efficient fabrication of optical devices are provided. More specifically, methods and devices for determining the configuration of the strong and weak emission dipole planes of the die made from GaN materials are provided. Merely by way of example, the invention can be applied to applications such as optoelectronic devices, and the like. Other applications that desire polarized emission include liquid crystal display ("LCD") backlighting, liquid crystal on silicon ("LCOS") lighting, selected applications of home and/or building lighting, medical applications, biological sampling, plant and algae growth, biofuels, microscopes, film and video (e.g., amusement, action, nature, in-door), multidimensional display or televisions, micro and/or pico dis-

plays, health and wellness, optical and/or data transmission/communication, security and safety, and others.

While conventional optical devices have been fabricated on the c-plane of GaN substrates, researchers are exploring the properties of optical devices fabricated on m-plane GaN substrates. Specifically c-plane bulk GaN is polar, while m-plane bulk GaN is non-polar along the growth direction. LEDs fabricated on the m-plane of bulk GaN substrates can emit highly polarized light. By utilizing non-polar GaN substrate-based LEDs in applications which require polarized 10 light (such as LCD back-lighting), improved system efficiencies can therefore be achieved. Furthermore, optical devices are also fabricated from GaN substrates wherein the largest area surface is angled from the polar c-plane leading to semipolar bulk GaN substrates. LEDs fabricated on bulk semi- 15 polar GaN substrates can also emit partially polarized light according to other embodiments. The degree of polarization of the emission can be related to the crystallographic orientation of the largest surface area of the bulk GaN substrate, the composition and constitution of the individual layers that 20 make up the LED structure, the electrical current density at which the polarization ratio is measured, how the measurement occurs, among other factors. Regarding the measurement, complex equipment including selected polarizers, photodetectors, and handling techniques are often required to 25 determine the degree of polarization. The use of non-polar or semi-polar GaN in the fabrication of LEDs allows for the creation of optical devices that produce light of various levels of polarization.

In order to maximize system efficiencies in end-applica- 30 tions for GaN LEDs with partially polarized emission, it is desirable in device manufacturing to know the orientations of the strong and weak emission dipole planes relative to largest surface area (or other surface area) of the LED chips fabricated from both non-polar and semi-polar bulk GaN sub- 35 strates. As background information, non-polar and semi-polar bulk GaN substrates are available in wafer form, and are subsequently singulated into individual die following epitaxial growth and device wafer-level device fabrication. In wafer form, the orientations of the strong and weak dipole 40 planes relative to the wafer's major and minor axes may be easily determined, by recording the emission intensity from an individual LED device with a polarizing optic placed between the device and a detector, for a sufficiently large number of angular orientations of the polarizing optic, as 45 shown in FIG. 1A—the orientation of the strong emission dipole is then simply represented by the angular orientation of the polarizing optic at which the measured emission intensity is the strongest, with the weak emission dipole orientation being orthogonal to the strong emission dipole orientation. 50 Typically, the LED devices are oriented relative to the substrate surface such that the orthogonal strong and weak dipole orientations are aligned to the edges of the individual LED chips. However, after singulation into individual LED die with typical square or similar geometries with high rotational 55 symmetry, it can be extremely difficult, cumbersome, if not impossible, to keep track of the strong and weak dipole plane orientations for individual LED chips.

In order to maximize multi-LED system efficiency, the proper polarization field direction of individual die must often 60 be easily determined during the packaging and assembly of the optical devices. In one or more embodiments, the strength of the dipole plane is related to a spatial feature of the individual die. In a specific embodiment, the spatial feature corresponding to either the strong or weak dipole of the individual die can be aligned in a desired configuration. In handling or packaging each individual die, it can be oriented

6

along a preferred spatial orientation until the strong or weak dipole plane is aligned in a desired configuration in a specific embodiment. In alternative embodiments, the selected dipole can be aligned with a secondary optic, which can have a polarizing or non-polarizing property. In still other alternative embodiments, the selected dipole can be aligned with an Nth optic, where N is greater than 2 for general application. Of course, there can be other variations, modifications, and alternatives.

FIG. 1 shows a sample LED device fabricated on a bulk GaN substrate wafer. This diagram is merely an example, which should not unduly limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. The substrate of the wafer includes a high quality nitride crystal with a release layer, as disclosed in U.S. Patent application 61/091,591, entitled, "Nitride crystal with release layer, method of making, and method of use," commonly assigned, and which is hereby incorporated by reference in its entirety. The nitride crystal comprises nitrogen and has a surface dislocation density below 10<sup>5</sup> cm<sup>-2</sup>. The nitride crystal or wafer may comprise  $Al_xIn_vGa_{1-x-v}N$ , where  $0 \le x$ , y,  $x+y \le 1$ . In one specific embodiment, the nitride crystal comprises GaN. In a preferred embodiment, the nitride crystal is substantially free of low-angle grain boundaries, or tilt boundaries, over a length scale of at least 3 millimeters. The nitride crystal has a release layer with an optical absorption coefficient greater than 1000 cm<sup>-1</sup> at least one wavelength where the base crystal underlying the release layer is substantially transparent, with an optical absorption coefficient less than 50 cm-1, and may further comprise a high quality epitaxial layer, which also has a surface dislocation density below 105 cm-2. The release layer may be etched under conditions where the nitride base crystal and the high quality epitaxial layer are not.

The substrate may have a large-surface orientation within ten degrees, within five degrees, within two degrees, within one degree, within 0.5 degree, or within 0.2 degree of (0 0 0 1), (0 0 0-1), {1-1 0 0}, {1 1-2 0}, {1-1 0±1}, {1-1 0±2}, {1-1 0±3}, or {1 1-2±2}. The substrate may have a dislocation density below 10<sup>4</sup> cm<sup>-2</sup>, below 10<sup>3</sup> cm<sup>-2</sup>, or below 10<sup>2</sup> cm<sup>-2</sup>. The nitride base crystal or wafer may have an optical absorption coefficient below 100 cm<sup>-1</sup>, below 50 cm<sup>-1</sup> or below 5 cm<sup>-1</sup> at wavelengths between about 465 nm and about 700 nm. The nitride base crystal may have an optical absorption coefficient below 100 cm<sup>-1</sup>, below 50 cm<sup>-1</sup> or below 5 cm<sup>-1</sup> at wavelengths between about 700 nm and about 3077 nm and at wavelengths between about 3333 nm and about 6667 nm.

In one or more embodiments, the device can be configured with an optional release layer. The release layer comprises heavily cobalt-doped GaN, has a high crystal quality, and is substantially black, with an optical absorption coefficient greater than 1000 cm<sup>-1</sup> or greater than 5000 cm<sup>-1</sup> across the visible spectrum, including the range between about 465 nm and about 700 nm. The release layer is between about 0.05 micron and about 50 microns thick and has a temperature stability approximately the same as the underlying base crystal and exhibits minimal strain with respect to the underlying base crystal.

An n-type  $Al_{u}In_{v}Ga_{1-u-v}N$  layer, where  $0 \le u$ , v,  $u+v \le 1$ , is deposited on the substrate. The carrier concentration may lie in the range between about  $10^{17}$  cm<sup>-3</sup> and  $10^{20}$  cm<sup>-3</sup>. The deposition may be performed using metal organic chemical vapor deposition (MOCVD) or molecular beam epitaxy (MBE).

Following deposition of the n-type  $Al_uIn_vGa_{1-u-v}N$  layer for a predetermined period of time, so as to achieve a predetermined thickness, an active layer is deposited. The active

layer may comprise a single quantum well or a multiple quantum well, with 2-10 quantum wells. The quantum wells may comprise InGaN wells and GaN barrier layers. In other embodiments, the well layers and barrier layers comprise  $Al_w In_x Ga_{1-w-x} N$  and  $Al_v In_z Ga_{1-v-z} N$ , respectively, where  $0 \le w$ , x, y, z, w+x, y+z $\le 1$ , where w<u, y and/or x>v, z so that the bandgap of the well layer(s) is less than that of the barrier layer(s) and the n-type layer. The well layers and barrier layers may each have a thickness between about 1 nm and about 20 nm. In another embodiment, the active layer comprises a double heterostructure, with an InGaN or Al<sub>w</sub>In<sub>x</sub> Ga<sub>1-w-x</sub>N layer about 20 nm to about 500 nm thick surrounded by GaN or  $Al_yIn_zGa_{1-y-z}N$  layers, where w<u, y and/or x>v, z. The composition and structure of the active layer are chosen to provide light emission at a preselected wavelength. The 15 active layer may be left undoped (or unintentionally doped) or may be doped n-type or p-type.

Next, a p-type doped Al $_q$ In,  $Ga_{1-q-r}N$ , where  $0 \le q$ , r,  $q+r \le 1$ , layer is deposited above the active layer. The p-type layer may be doped with Mg, to a level between about  $10^{17}$  cm<sup>-3</sup> and 20  $10^{21}$  cm<sup>-3</sup>, and may have a thickness between about 5 nm and about 500 nm. The outermost 1-30 nm of the p-type layer may be doped more heavily than the rest of the layer, so as to enable an improved electrical contact.

A reflective electrical contact, with a reflectivity greater 25 than about 70%, is then deposited on the p-type semiconductor layer or on the second n-type layer above a tunnel junction, if it is present. In another embodiment, the reflective electrical contact is placed on the n-type side of the device structure. In a preferred embodiment, the reflectivity of the reflective electrical contact is greater than 80% or greater than 90%. The  $^{\,30}$ reflective electrical contact may comprise at least one of silver, gold, aluminum, nickel, platinum, rhodium, palladium, chromium, or the like. The reflective electrical contact may be deposited by thermal evaporation, electron beam evaporation, sputtering, or another suitable technique. In a 35 preferred embodiment, the reflective electrical contact serves as the p-type electrode for the textured-surface LED. In another embodiment, the reflective electrical contact serves as an n-type electrode for the textured-surface LED. Further details of the present invention are found throughout the 40 present specification and more particularly below. Of course, there can be other variations, modifications, and alternatives.

To further understand the present method and structures, FIG. 2 shows a top view of a conventional square shaped die with the configuration of the strong and weak dipole planes. After the LED structures of FIG. 1 are created on the bulk GaN substrate wafer, the wafer is then cut into individual die, typically in the shape of a square, which we discovered led to limitations in packaging and orienting the die in a desired manner. While the configuration of the strong and weak dipole planes of the bulk GaN substrate wafer may be known 50 while the substrate is in wafer form, once the wafer has been processed and singulated to form a plurality of individual die including one or more optical devices, such planes are no longer known by visual inspection of the individual square dice. As a result, in order to determine the polarization field 55 direction, a person must often manually tests and reorient in a trial and error basis each die in order to determine the configuration of the strong and weak dipole planes. Accordingly, conventional square shaped die have limitations in handling and lead to inefficiencies in packaging and the like.

FIG. 3 shows a top view of a rectangular die of the present invention with the configuration of the strong and weak dipole planes according to an embodiment of the present invention. Cutting the die from the wafer in a rectangular shape provides a visible configuration that can represent the configuration of such planes. Specifically, since the configuration of the strong and weak dipole planes of the wafer is known, all of the die can be cut in a rectangular shape such

R

that the longer side of the rectangle indicates the configuration of the strong and weak dipole planes. Alternatively, the die can be cut in a rectangular shape such that the shorter side indicates the configuration of such planes. Regardless of which side is used to represent the configuration of the strong and weak dipole planes, upon removal from the wafer, such configuration is known by visual inspection. This allows a user to quickly determine what the dipole plane configuration is and thereby more efficiently create optical devices using the die for purposes of handling and packaging. Of course, there can be other variations, modifications, and alternatives.

FIG. 4 shows a top view of a die of the present invention with one side cut at an angle and the configuration of the strong and weak dipole planes according to an embodiment of the present invention. Similar to the rectangular shape, alternatively, one side of the die can be cut at an angle when cutting the die from wafer, thereby providing a visible configuration that represents the configuration of the strong and weak dipole planes. In this embodiment of the invention, any side can be used to the dipole plane configuration. For example the angled side can be used, or the side opposing the angle side can be used to indicate the dipole planes. Likewise, the shorter of the parallel sides or the longer of the parallel sides can be used to indicate the dipole planes. Of course, there can be other variations, modifications, and alternatives.

In theory any non-square shaped die can be used as an indicator of the configuration of the strong and weak dipole planes of the individual die. All that is required is that each die is cut in the same configuration from the wafer so that the visual indication of the dipole planes remains constant amongst all of the die that are cut from the wafer. The shape of the die that is used to represent the dipole plane configuration is completely dependent on the fabrication process, and what shape is most effective and well suited for the resulting optical devices that are fabricated from such die.

FIG. 5 shows a top view of a die with a mark that is used to indicate the configuration of the strong and weak dipole planes according to an embodiment of the present invention. The mark merely serves as indicia and can be of any shape, size, or design. The mark can be either permanent or nonpermanent. A permanent mark can be created through any suitable means that does not create defects in the actual LEDs on the die, including but not limited to, lithography, milling, scribing, sawing, laser marking, masking, screen printing, chemical etching during the LED fabrication process. This mark can be placed on any side of any shaped die based on the preference of the manufacturer. In the shown embodiment a star is used to indicate the side of the die that is parallel to the strong dipole plane. Alternatively, a similar mark can be made on the back side of the die to ensure that the LED structure is not altered or damaged in any way. In a specific embodiment, the mark should be larger than about 10 microns, 100 microns, or greater, or large enough to be detectable by a machine reader (e.g., optical, capacitive, inductive, electrical, mechanical or any combination) or visual by a human being assisted with a microscope or under normal vision or other tool. Of course, there can be other variations, modifications, and alternatives.

FIG. 6 shows a top view of a sample optical device made from multiple die, wherein the configuration of the strong and weak dipole planes of each individual die are not aligned in the same direction according to an embodiment of the present invention. This can dramatically affect the performance of the device, as the light that is emitted from the misaligned die has a different direction of polarization, thereby decreasing the intensity of correctly polarized light that is emitted from the device. This is what creates the need for a method to quickly determine the configuration of the strong and weak dipole planes of the die. Of course, there can be other variations, modifications, and alternatives.

FIG. 7 shows a top view of a sample optical device made from multiple die, wherein the configuration of the strong and weak dipole planes of each individual die are aligned in the same direction according to an embodiment of the present invention. Using any of the embodiments of this invention, a user can ensure that when packaging multiple die to create an optical device, the die are positioned correctly such that the dipole planes are aligned along the same direction. This in turn ensures that all light is emitted along the same polarized field direction, thereby maximizing light intensity levels.

In some embodiments, at least one light emitting diode is  $\,^{10}$ packaged along with at least one phosphor, as described in U.S. patent application 61/086,139, entitled "White light devices using non-polar or semipolar gallium containing materials and phosphors," which is hereby incorporated by reference in its entirety. In other embodiments, at least one 15 textured-surface light emitting diode is co-packaged along with at least one additional light emitting diode, as described in U.S. patent application 61/076,596, entitled "Copackaging configurations for nonpolar GaN and/or semipolar GaN LEDs," which is hereby incorporated by reference in its 20 entirety. Thus, the present invention is not limited to the packaging of identical die fabricated from bulk GaN material. Instead, the present invention can be used with optical devices that utilize die fabricated from various materials. The present invention merely ensures that the manufacturer properly aligns the bulk GaN based die within the optical device.

While the above is a full description of the specific embodiments, various modifications, alternative constructions and equivalents may be used. Therefore, the above description and illustrations should not be taken as limiting the scope of the present invention which is defined by the appended <sup>30</sup> claims.

What is claimed is:

1. A method for indicating a dipole configuration of an LED device provided on a gallium-containing substrate, the method comprising:

determining a dipole configuration of an LED device provided on a substrate, the LED device being configured to emit electromagnetic radiation having a weak dipole plane and a strong dipole plane; and

forming one or more indicia on the gallium-containing substrate to correlate the weak dipole plane, the strong dipole plane, or both the weak and the strong dipole plane to the one or more indicia;

- wherein the one or more indicia comprises a shape including a width and a length, the width (W) and the length (L) being different in magnitude, wherein the shape is similar to a rectangular shape in which one side is cut at an angle.
- 2. The method of claim 1, wherein the one or more indicia comprises one or more marks on either of one of the faces of the device or substrate.
- 3. The method of claim 1, wherein the weak dipole plane is orthogonal to the strong dipole plane, the strong dipole plane being parallel or perpendicular to the width of the gallium-containing substrate.
- **4**. The method of claim **1**, wherein the gallium-containing substrate comprises a gallium species and a nitrogen species.
- 5. The method of claim 1, wherein the gallium-containing substrate is a gallium nitride (GaN) substrate.
- ${\bf 6}.$  The method of claim  $\tilde{\bf 5},$  wherein the GaN substrate comprises a semipolar GaN substrate.
- 7. The method of claim 5, wherein the GaN substrate <sup>60</sup> comprises a non-polar GaN substrate.

10

8. The method of claim 1, wherein the length and the width are characterized by an aspect ratio (L/W) of 1.5 and greater.

9. The method of claim 1, wherein the length and the width are characterized by an aspect ratio (L/W) of 2 and greater.

- 10. The method of claim 1, wherein the LED device is formed on a semi-polar plane of the gallium-containing substrate.
- 11. The method of claim 1, wherein the LED device is formed on a non-polar plane of the gallium-containing substrate.
- 12. The method of claim 1, wherein the gallium-containing substrate is characterized by a wurtzite crystalline structure.
- 13. A method for indicating a dipole configuration of a plurality of LED devices provided on a gallium-containing substrate, the method comprising:
  - determining a dipole configuration of each of the plurality of LED devices, each of the plurality of LED devices being configured to emit electromagnetic radiation having a weak dipole plane and a strong dipole plane; and
  - cutting one or more of the plurality of LED devices to provide one or more indicia on the gallium-containing substrate to correlate the weak dipole plane, the strong dipole plane, or both the weak and the strong dipole plane to the one or more indicia;
  - wherein the one or more indicia comprises a shape including a width and a length, the width (W) and the length (L) being different in magnitude, wherein the shape is similar to a rectangular shape in which one side is cut at an angle.
- 14. The method of claim 13, wherein each of the plurality of LED devices is configured to emit the polarized electromagnetic radiation in a common direction.
- 15. The method of claim 13, wherein the weak dipole plane is orthogonal to the strong dipole plane, the strong dipole plane being parallel or perpendicular to the width of the gallium-containing substrate.
- 16. The method of claim 13, wherein the gallium-containing substrate comprises a gallium species and a nitrogen species.
- 17. The method of claim 13, wherein the LED device is formed on a semi-polar plane of the gallium-containing substrate.
- **18**. The method of claim **13**, wherein the LED device is formed on a non-polar plane of the gallium-containing substrate.
- 19. A method of assembling an optical device from a plurality of LED devices, comprising:

providing a plurality of LED devices wherein,

- each of the plurality of LED devices is provided on a gallium-containing substrate and is configured to emit electromagnetic radiation having a weak dipole plane and a strong dipole plane; and
- each of the plurality of LED devices comprises one or more indicia on the gallium-containing substrate to correlate the weak dipole plane, the strong dipole plane, or both the weak and the strong dipole plane to the one or more indicia;
- wherein the one or more indicia comprises a shape including a width and a length, the width (W) and the length (L) being different in magnitude, wherein the shape is similar to a rectangular shape in which one side is cut at an angle; and

orienting each of the plurality of LED devices based on the one or more indicia.

\* \* \* \* \*